

THIS IS THE BEGINNING OF ADMINISTRATIVE FINE CASE # 3131

1-800-N-T-N-N-4



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

2016 SEP 14 AM 9:58

September 13, 2016

MEMORANDUM

SENSITIVE

TO: The Commission

THROUGH: Alec Palmer *for PCO*
Staff Director

FROM: Patricia C. Orrock *PCO*
Chief Compliance Officer

Debbie Chacona *for PCO*
Assistant Staff Director
Reports Analysis Division

BY: *KDR* Kristin D. Roser/Ben Holly *B.H.*
Reports Analysis Division
Compliance Branch

SUBJECT: Reason To Believe Recommendation -2016 July Quarterly Report for the
Administrative Fine Program

Attached is a list of political committees and their treasurers who failed to file the 2016 July Quarterly Report in accordance with 52 U.S.C. § 30104(a). The July Quarterly Report was due on July 15, 2016.

The committees listed in the attached RTB Circulation Report either failed to file the report, filed the report : no more than thirty (30) days after the due date (considered a late filed report), or filed the report more than thirty (30) days after the due date (considered a non-filed report). In accordance with the schedule of civil money penalties for reports at 11 C.F.R. 111.43, these committees should be assessed the civil money penalties highlighted on the attached circulation report.

An explanation of the civil money penalties calculation for the following committees that failed to file the report is outlined below:

The committee (AF 3122) represents a candidate that participated in the 2016 Primary in Georgia. The committee was required to file a 2016 July Quarterly Report (Q2) covering May 5, 2016 through June 30, 2016 (57 days). In order to determine the civil money penalty, an estimated level of activity was calculated using a per diem average by multiplying the previous

activity reported for the 2015-2016 election cycle (\$684,828) by 45.60% (the number of days required in Q2 (57) divided by the number of days included in the Committee's 2015-2016 election cycle reports (125)).

The committee (AF 3130) represents a candidate that participated in the 2016 Primary in California. The committee was required to file a 2016 July Quarterly Report (Q2) covering May 19, 2016 through June 30, 2016 (43 days). In order to determine the civil money penalty, an estimated level of activity was calculated using a per diem average by multiplying the previous activity reported for the 2015-2016 election cycle (\$257,711) by 30.93% (the number of days required in Q2 (43) divided by the number of days included in the Committee's 2015-2016 election cycle reports (139)).

The committee (AF 3131) represents a candidate that participated in the 2016 Primary in Nebraska. The committee was required to file a 2016 July Quarterly Report (Q2) covering April 21, 2016 through June 30, 2016 (71 days). In order to determine the civil money penalty, an estimated level of activity was calculated using a per diem average by multiplying the previous activity reported for the 2015-2016 election cycle (\$172,021) by 17.02% (the number of days required in Q2 (71) divided by the number of days included in the Committee's 2015-2016 election cycle reports (417)).

Recommendation

1. Find reason to believe that the political committees and their treasurers, in their official capacity, listed on the RTB Circulation Report violated 52 U.S.C. § 30104(a) and make a preliminary determination that the civil money penalties would be the amounts indicated on the RTB Circulation Report.
2. Send the appropriate letters.

Federal Election Commission
Reason to Believe Circulation Report
2016 JULY QUARTERLY Not Election Sensitive 07/15/2016 H_S_P_UNAUTH

AF#	Committee ID	Committee Name	Candidate Name	Treasurer	Threshold	PV	Receipt Date	Days Late	LOA	RTB Penalty
3117	C00572537	AMERICANS SOCIALLY UNITED		CARY L. PETERSON	\$233,949	1		Not Filed	\$233,949 (est)	\$11,106
3118	C00588756	BRIAN SIMS FOR CONGRESS	BRIAN KENDALL SIMS	ANDREA LAWFUL SANDERS	\$535,449	0		Not Filed	\$267,725 (est)	\$10,935
3119	C00496927	CITIZENS SUPER PAC FOR AMERICA (CSPAC)		SIDDIQ MUMIN	\$463,130	0		Not Filed	\$144	\$144
3120	C00554253	CLAITOR FOR CONGRESS	DANIEL A. CLAITOR	CHELSEA BONNECAZE	\$120,518	4		Not Filed	\$120,518 (est)	\$12,302
3121	C00551465	COMMITTEE TO ELECT J.D. WINTEREGG	J.D. WINTEREGG	BETH COX	\$165,803	0		Not Filed	\$27,634 (est)	\$1,157
3122	C00613786	COWAN FOR CONGRESS, INC.	DANIEL COWAN	LEIGH ANN GILLIS	\$684,828	0		Not Filed	\$312,281 (est)	\$10,935
3123	C00030734	DISTILLED SPIRITS COUNCIL OF THE UNITED STATES INC POLITICAL ACTION COMMITTEE		MARK GORMAN	\$181,065	0	7/28/2016	13	\$43,269	\$611
3124	C00612929	ERIN FOR US	ERIN AZARIA SCHRODE	JASON TERAMOTO	\$117,364	0	8/15/2016	Not Filed	\$44,461 (est)	\$1,157

3126	C00518365	ESPAILLAT FOR CONGRESS	ADRIANO ESPAILLAT	RAFAEL LANTIGUA	\$103,607	0	8/8/2016	24	\$9,379	\$208
3127	C00593525	ESPAILLAT FOR CONGRESS 2016	ADRIANO ESPAILLAT	RAYSA CASTILLO	\$1,070,219	0	8/8/2016	24	\$341,768	\$8,602
3128	C00586578	FETTERMAN FOR SENATE	JOHN KART FETTERMAN	PHYLLIS GREATHOUSE-BROWN	\$1,512,293	0	7/27/2016	12	\$350,008	\$6,010
3129	C00354613	INT LONGSHOREMENS ASSOC (ILA) LOCAL 1291 POLITICAL ACTION COMMITTEE		MARTIN MASCUILLI	\$113,849	3		Not Filed	\$19,804	\$1,125
3130	C00606897	MATT KOKKONEN FOR CONGRESS	MATT KOKKONEN	JACOB THOMAS	\$257,711	0		Not Filed	\$79,710 (est)	\$4,784
3131	C00565598	MAXWELL FOR CONGRESS	CHIP MAXWELL	CHIP MAXWELL	\$172,021	0		Not Filed	\$29,277 (est)	\$1,157
3132	C00150995	NATIONAL PAC INC A/K/A NATPAC		DEBORAH ANN CAPOCCI	\$195,419	0		Not Filed	\$65,139 (est)	\$3,691
3133	C00134676	PHOENIX FIRE FIGHTERS, LOCAL 493, FIRE PAC COMMITTEE		BRYAN WILLINGHAM	\$376,301	0	7/21/2016	6	\$59,878	\$1,028
3134	C00362632	SWING STATES FOR A CONSERVATIVE WHITE HOUSE PAC INC		OFFICE OF TREASURER	\$134,449	0		Not Filed	\$44,816 (est)	\$1,157

AF#	Committee ID	Committee Name	Candidate Name	Treasurer	Threshold	PV	Receipt Date	Days Late	LOA	RTB Penalty
3135	C00613216	THE CANDIDATE BILLY DAVIS INC.	BILLY DAVIS	BILLY DAVIS	\$146,000	0		Not Filed	\$17,906 (est)	\$643
3136	C00560649	TOM CARTER FOR CONGRESS	THOMAS G. CARTER	THOMAS CARTER	\$137,286	0		Not Filed	\$137,286 (est)	\$6,151
3137	C00573428	WHITWELL FOR CONGRESS	ROBERT QUENTIN WHITWELL JR.	OFFICE OF TREASURER	\$437,531	1		Not Filed	\$72,922 (est)	\$4,613
3138	C00520064	WILLIAM LLOP CPA FOR CONGRESS	WILLIAM LLOP	WILLIAM LLOP	\$312,739	0	7/22/2016	7	\$109,185	\$2,017
3139	C00592519	YUNGMAN LEE FOR CONGRESS	YUNGMAN F. LEE	GUANG QUAN WU	\$739,988	0	7/27/2016	12	\$88,669	\$2,191

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Reason To Believe Recommendation –)
2016 July Quarterly Report for the)
Administrative Fine Program:)
AMERICANS SOCIALLY UNITED, and) AF# 3117
PETERSON, CARY L as treasurer;)
BRIAN SIMS FOR CONGRESS, and) AF# 3118
SANDERS, ANDREA LAWFUL as)
treasurer;)
CITIZENS SUPER PAC FOR AMERICA) AF# 3119
(CSPAC), and SIDDIQ MUMIN as)
treasurer;)
CLAITOR FOR CONGRESS, and) AF# 3120
CHELSEA BONNECAZE as treasurer;)
COMMITTEE TO ELECT JD) AF# 3121
WINTEREGG, and COX, BETH as)
treasurer;)
COWAN FOR CONGRESS, INC., and) AF# 3122
GILLIS, LEIGH ANN as treasurer;)
DISTILLED SPIRITS COUNCIL OF THE) AF# 3123
UNITED STATES INC POLITICAL)
ACTION COMMITTEE, and GORMAN,)
MARK MR. as treasurer;)
ERIN FOR US, and TERAMOTO, JASON) AF# 3124
as treasurer;)

ESPAILLAT FOR CONGRESS, and) AF# 3126
LANTIGUA, RAFAEL as treasurer;)
ESPAILLAT FOR CONGRESS 2016, and) AF# 3127
RAYSA CASTILLO as treasurer;)
FETTERMAN FOR SENATE, and) AF# 3128
PHYLLIS GREATHOUSE-BROWN as)
treasurer;)
INT LONGSHOREMENS ASSOC (ILA)) AF# 3129
LOCAL 1291 POLITICAL ACTION)
COMMITTEE, and MARTIN MASCUILLI)
as treasurer;)
MATT KOKKONEN FOR CONGRESS,) AF# 3130
and THOMAS, JACOB CPA as treasurer;)

MAXWELL FOR CONGRESS, and CHIP) AF# 3131
MAXWELL as treasurer;)
NATIONAL PAC INC A/K/A NATPAC,) AF# 3132
and CAPOCCI, DEBORAH ANN MRS. as)
treasurer;)
PHOENIX FIRE FIGHTERS, LOCAL 493,) AF# 3133
FIRE PAC COMMITTEE, and)
WILLINGHAM, BRYAN as treasurer;)
THE CANDIDATE BILLY DAVIS INC.,) AF# 3135
and DAVIS, BILLY as treasurer;)
TOM CARTER FOR CONGRESS, and) AF# 3136
CARTER, THOMAS as treasurer;)
WILLIAM LLOP CPA FOR CONGRESS,) AF# 3138
and WILLIAM LLOP as treasurer;)
YUNGMAN LEE FOR CONGRESS, and) AF# 3139
GUANG QUAN WU as treasurer;)

CERTIFICATION

I, Shawn Woodhead Werth, Secretary and Clerk of the Federal Election Commission, do hereby certify that on September 15, 2016 the Commission took the following actions on the Reason To Believe Recommendation – 2016 July Quarterly Report for the Administrative Fine Program as recommended in the Reports Analysis Division's Memorandum dated September 13, 2016, on the following committees:

AF#3117 Decided by a vote of 6-0 to: (1) find reason to believe that AMERICANS SOCIALLY UNITED, and PETERSON, CARY L in his official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3118 Decided by a vote of 6-0 to: (1) find reason to believe that BRIAN SIMS FOR CONGRESS, and SANDERS, ANDREA LAWFUL in her official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3119 Decided by a vote of 6-0 to: (1) find reason to believe that CITIZENS SUPER PAC FOR AMERICA (CSPAC), and SIDDIQ MUMIN in his official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3120 Decided by a vote of 6-0 to: (1) find reason to believe that CLAITOR FOR CONGRESS, and CHELSEA BONNECAZE in her official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3121 Decided by a vote of 6-0 to: (1) find reason to believe that COMMITTEE TO ELECT JD WINTEREGG, and COX, BETH in her official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3122 Decided by a vote of 6-0 to: (1) find reason to believe that COWAN FOR CONGRESS, INC., and GILLIS, LEIGH ANN in her official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3123 Decided by a vote of 6-0 to: (1) find reason to believe that DISTILLED SPIRITS COUNCIL OF THE UNITED STATES INC POLITICAL ACTION COMMITTEE, and GORMAN, MARK MR. in his official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3124 Decided by a vote of 6-0 to: (1) find reason to believe that ERIN FOR US, and TERAMOTO, JASON in his official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3126 Decided by a vote of 6-0 to: (1) find reason to believe that ESPAILLAT FOR CONGRESS, and LANTIGUA, RAFAEL in his official as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3127 Decided by a vote of 6-0 to: (1) find reason to believe that ESPAILLAT FOR CONGRESS 2016, and RAYSA CASTILLO in her official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3128 Decided by a vote of 6-0 to: (1) find reason to believe that FETTERMAN FOR SENATE, and PHYLLIS GREATHOUSE-BROWN in her official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3129 Decided by a vote of 6-0 to: (1) find reason to believe that INT LONGSHOREMENS ASSOC (ILA) LOCAL 1291 POLITICAL ACTION COMMITTEE, and MARTIN MASCUILLI in his official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3130 Decided by a vote of 6-0 to: (1) find reason to believe that MATT KOKKONEN FOR CONGRESS, and THOMAS, JACOB CPA in his official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3131 Decided by a vote of 6-0 to: (1) find reason to believe that MAXWELL FOR CONGRESS, and CHIP MAXWELL in his official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3132 Decided by a vote of 6-0 to: (1) find reason to believe that NATIONAL PAC INC A/K/A NATPAC, and CAPOCCI, DEBORAH ANN MRS. in her official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3133 Decided by a vote of 6-0 to: (1) find reason to believe that PHOENIX FIRE FIGHTERS, LOCAL 493, FIRE PAC COMMITTEE, and WILLINGHAM, BRYAN in his official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3135 Decided by a vote of 6-0 to: (1) find reason to believe that THE CANDIDATE BILLY DAVIS INC., and DAVIS, BILLY in his official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3136 Decided by a vote of 6-0 to: (1) find reason to believe that TOM CARTER FOR CONGRESS, and CARTER, THOMAS in his official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3138 Decided by a vote of 6-0 to: (1) find reason to believe that WILLIAM LLOP CPA FOR CONGRESS, and WILLIAM LLOP in his official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

Federal Election Commission
Certification for Administrative Fines
September 15, 2016

Page 6

AF#3139 Decided by a vote of 6-0 to: (1) find reason to believe that YUNGMAN LEE FOR CONGRESS, and GUANG QUAN WU in his official capacity is treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

Attest:

September 16, 2016
Date

Shawn Woodhead Werth
Shawn Woodhead Werth
Secretary and Clerk of the Commission



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

September 16, 2016

Chip Maxwell, in official capacity as Treasurer
Maxwell for Congress
11906 Arbor St., #100
Omaha, NE 68144

C00565598
AF#: 3131

Dear Mr. Maxwell:

The Federal Election Campaign Act of 1971, as amended ("the Act"), requires that your committee file a July Quarterly Report of Receipts and Disbursements every calendar year. This report, covering the period April 21, 2016 through June 30, 2016, shall be filed no later than July 15, 2016. 52 U.S.C. § 30104(a). Because records at the Federal Election Commission ("FEC") indicate that you did not file this report within thirty (30) days of the due date, the report is considered not filed for the purpose of calculating the civil money penalty. You should file this report if you have not already done so.

The Act permits the FEC to impose civil money penalties for violations of the reporting requirements of 52 U.S.C. § 30104(a). 52 U.S.C. § 30109g(a)(4). On September 15, 2016, the FEC found that there is reason to believe ("RTB") that Maxwell for Congress and you, in your official capacity as treasurer, violated 52 U.S.C. § 30104(a) by failing to file timely this report on or before July 15, 2016. Based on the FEC's schedules of civil money penalties at 11 CFR § 111.43, the amount of your civil money penalty calculated at the RTB stage is \$1,157. Please see the attached copy of the Commission's administrative fine regulations at 11 CFR §§ 111.30-111.55. Attachment 1. The Commission's website contains further information about how the administrative fine program works and how the fines are calculated. See <http://www.fec.gov/af/af.shtml>. 11 CFR § 111.34. Your payment of \$1,157 is due within forty (40) days of the finding, or by October 25, 2016, and is based on these factors:

Sensitivity of Report: Not Election Sensitive
Level of Activity: \$29,277
Number of Days Late: Not Filed
Number of Previous Civil Money Penalties Assessed: 0

At this juncture, the following courses of action are available to you:

1. If You Choose to Challenge the RTB Finding and/or Civil Money Penalty

If you should decide to challenge the RTB finding and/or calculated civil money penalty, you must submit a written response to the FEC's Office of Administrative Review, 999 E Street,

NW, Washington, DC 20463. Your response must include the AF# (found at the top of page 1 under your committee's identification number) and be received within forty (40) days of the Commission's RTB finding, or October 25, 2016. 11 CFR § 111.35(a). Your written response must include the reason(s) why you are challenging the RTB finding and/or calculated civil money penalty, and must include the factual basis supporting the reason(s) and supporting documentation. The FEC strongly encourages that documents be submitted in the form of affidavits or declarations. 11 CFR § 111.36(c).

The FEC will only consider challenges that are based on at least one of three grounds: (1) a factual error in the RTB finding; (2) miscalculation of the calculated civil money penalty by the FEC; or (3) your demonstrated use of best efforts to file in a timely manner when prevented from doing so by reasonably unforeseen circumstances that were beyond your control. 11 CFR § 111.35(b). In order for a challenge to be considered on the basis of best efforts, you must have filed the required report no later than 24 hours after the end of these reasonably unforeseen circumstances. *Id.* Examples of circumstances that will be considered reasonably unforeseen and beyond your control include, but are not limited to: (1) a failure of Commission computers or Commission-provided software despite your seeking technical assistance from Commission personnel and resources; (2) a widespread disruption of information transmissions over the Internet that is not caused by a failure of the Commission's or your computer systems or Internet service provider; and (3) severe weather or other disaster-related incident. 11 CFR § 111.35(c). Examples of circumstances that will not be considered reasonably unforeseen and beyond your control include, but are not limited to: (1) negligence; (2) delays caused by vendors or contractors; (3) treasurer and staff illness, inexperience or unavailability; (4) committee computer, software, or Internet service provider failures; (5) failure to know filing dates; and (6) failure to use filing software properly. 11 CFR § 111.35(d).

The "failure to raise an argument in a timely fashion during the administrative process shall be deemed a waiver" of your right to present such argument in a petition to the U.S. District Court under 52 U.S.C. § 30109. 11 CFR § 111.38.

If you intend to be represented by counsel, please advise the Office of Administrative Review. You should provide, in writing, the name, address and telephone number of your counsel and authorize counsel to receive notifications and communications relating to this challenge and imposition of the calculated civil money penalty.

2. If You Choose Not to Pay the Civil Money Penalty and Not to Submit a Challenge

If you do not pay the calculated civil money penalty and do not submit a written response, the FEC will assume that the preceding factual allegations are true and make a final determination that Maxwell for Congress and you, in your official capacity as treasurer, violated 52 U.S.C. § 30104(a) and assess a civil money penalty.

Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA"), as amended by the Debt Collection Improvement Act of 1996, 31 U.S.C. § 3701 *et seq.* The FEC may take any and all appropriate action authorized and required by the DCA, as amended, including transfer to the U.S. Department of the Treasury for collection. 11 CFR § 111.51(a)(2).

3. If You Choose to Pay the Civil Money Penalty

If you should decide to pay the calculated civil money penalty, follow the payment instructions on page 4 of this letter. Upon receipt of your payment, the FEC will send you a final determination letter.

NOTICE REGARDING PARTIAL PAYMENTS AND SETTLEMENT OFFERS

4. Partial Payments

If you make a payment in an amount less than the calculated civil money penalty, the amount of your partial payment will be credited towards the full civil money penalty that the Commission assesses upon making a final determination.

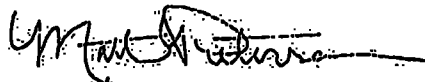
5. Settlement Offers

Any offer to settle or compromise a debt owed to the Commission, including making a payment in an amount less than the calculated civil money penalty assessed or any restrictive endorsements contained on your check or money order or proposed in correspondence transmitted with your check or money order, will be rejected. Acceptance and deposit or cashing of such a restricted payment does not constitute acceptance of the settlement offer. Payments containing restrictive endorsements will be deposited and treated as a partial payment towards the civil money penalty that the Commission assesses upon making a final determination. All unpaid civil money penalty amounts remaining will be subject to the debt collection procedures set forth in Section 2, above.

This matter was generated based on information ascertained by the FEC in the normal course of carrying out its supervisory responsibilities. 52 U.S.C. § 30109(a)(2). Unless you notify the FEC in writing that you wish the matter to be made public, it will remain confidential in accordance with 52 U.S.C. § 30109(a)(4)(B) and 30109(a)(12)(A) until it is placed on the public record at the conclusion of this matter in accordance with 11 CFR § 111.42.

As noted earlier, you may obtain additional information on the FEC's administrative fine program, including the final regulations, on the FEC's website at <http://www.fec.gov/af/af.shtml>. If you have questions regarding the payment of the calculated civil money penalty, please contact Ben Holly in the Reports Analysis Division at our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130. If you have questions regarding the submission of a challenge, please contact the Office of Administrative Review at our toll free number (800) 424-9530 (press 0, then ext. 1660) or (202) 694-1660.

On behalf of the Commission,



Matthew S. Petersen
Chair

ADMINISTRATIVE FINE REMITTANCE & PAYMENT INSTRUCTIONS

In accordance with the schedule of penalties at 11 CFR § 111.43, the amount of your civil money penalty calculated at RTB is \$1,157 for the 2016 July Quarterly Report.

You may remit payment by ACH withdrawal from your bank account, or by debit or credit card through Pay.gov, the federal government's secure portal for online collections. Visit www.fec.gov/af/pay.shtml to be directed to Pay.gov's Administrative Fine Program Payment form.

This penalty may also be paid by check or money order, made payable to the Federal Election Commission. It should be sent by mail to:

Federal Election Commission
P.O. Box 979058
St. Louis, MO 63197-9000

If you choose to send your payment by courier or overnight delivery, please send to:

U.S. Bank - Government Lockbox
FEC #979058
1005 Convention Plaza
Attn: Government Lockbox, SL-MO-C2GL
St. Louis, MO 63101

PAYMENTS BY PERSONAL CHECK

Personal checks will be converted into electronic funds transfers (EFTS). Your account will be electronically debited for the amount on your check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

PLEASE DETACH AND RETURN THE PORTION BELOW WITH YOUR PAYMENT

FOR: Maxwell for Congress

FEC ID#: C00565598

AF#: 3131

PAYMENT DUE DATE: October 25, 2016

PAYMENT AMOUNT DUE: \$1,157

October 24, 2016

Office of Administrative Review
Federal Election Commission
999 E St NW
Washington DC 20463

Committee: Maxwell for Congress
FEC ID: C00565598
AF: 3131

Dear OAR,

This notification gets something "on the record" by the deadline of October 25, 2016.

I challenge the fine of \$1,157 and ask that it be waived or reduced to zero. The person who filed the report said he thought it had been properly filed. He refiled the report today. (First page of email correspondence included with this transmission.) I believe him because he would not have been able to complete the filing from scratch so quickly.

Apparently the FEC attempted to contact me at the campaign address of 11906 Arbor St #100, Omaha, NE, 68124. I was out of there by the end of May and have not received mail or notices from that address.

I also request an immediate administrative termination of the committee. I apologize for the failure to submit a termination form. I thought that was handled. The campaign effectively shut down immediately after the May 10 primary. The campaign account has been closed for more than four months. There was \$3.30 left in the account, which I put toward repaying my \$10,000 loan to the campaign.

I will call the OAR tomorrow. Thank you for considering my requests.

Sincerely,



Chip Maxwell

11/02/2016 12:00

Chip Maxwell

From: Tom <tom.owens.13@gmail.com>
Sent: Monday, October 24, 2016 4:14 PM
To: Chip Maxwell
Subject: Fwd: FEC Electronic Filing Results

Uploaded the filing, it must have not gone through before. I apologize for not checking more diligently.

I'm heading up to work now, but have time tomorrow. Let me know what I can do to rectify this situation.

Tommy

----- Forwarded message -----

From: <noreply-efiling@fec.gov>
Date: Oct 24, 2016 4:10 PM
Subject: FEC Electronic Filing Results
To: <tom.owens.13@gmail.com>
Cc:

DISCLOSE -- FEC Financial Disclosure Filing Acknowledgement

This is to acknowledge the receipt and acceptance of your electronic filing via the DISCLOSE protocol.

Your filing was received and accepted by our system at 10/24/2016 - 17:10:51, and was assigned the Filing ID of: FEC-1114109

Please make a note of this, as it will be necessary to refer to this information in the future.

Thank you for using DISCLOSE.

Do not reply to this notice. It is sent from an unattended account that cannot receive email.

For your reference, the output of the validation check was as follows:

FEC File Validator Version 8.1.0.3

For technical support, please contact: ELECTRONIC FILING OFFICE, FEC
Direct dial: 202-694-1642, Toll free: 1-800-424-9530 x 1642

Identification Section

Committee ID: C00565598
Committee Name: Maxwell for Congress



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

December 20, 2016

REVIEWING OFFICER RECOMMENDATION OFFICE OF ADMINISTRATIVE REVIEW ("OAR")

AF# 3131 – Maxwell for Congress and Chip Maxwell, in his official capacity as Treasurer (C00565598)

Summary of Recommendation

Make a final determination that the respondents violated 52 U.S.C. § 30104(a) and assess a \$1,157 civil money penalty.

Reason-to-Believe Background

The 2016 July Quarterly Report was due on July 15, 2016. The respondents filed the report on October 24, 2016, 101 days late. The report is not election sensitive and was not filed within 30 days of the due date; therefore, the report is considered not filed. 11 C.F.R. §§ 111.43(d)(1) and (e)(1).

On September 15, 2016, the Commission found reason to believe ("RTB") that the respondents violated 52 U.S.C. § 30104(a) for failing to timely file the 2016 July Quarterly Report and made a preliminary determination that the civil money penalty was \$1,157 based on the schedule of penalties at 11 C.F.R. § 111.43. A letter was mailed to the respondents' address of record from the Reports Analysis Division ("RAD") on September 16, 2016 to notify them of the Commission's RTB finding and civil money penalty.

Legal Requirements

The Federal Election Campaign Act ("Act") states that the treasurer of a principal campaign committee shall file a report for the quarter ending June 30 no later than July 15. 52 U.S.C. § 30104(a) and 11 C.F.R. § 104.5(a)(1)(i). Reports electronically filed must be received and validated at or before 11:59 pm Eastern Standard/Daylight Time on the filing deadline to be timely filed. 11 C.F.R. §§ 100.19(c) and 104.5(e). The treasurer shall be personally responsible for the timely filing of reports. 11 C.F.R. § 104.14(d).

Summary of Respondents' Challenge

On October 24, 2016, the Commission received the written response ("challenge") from the Candidate, who also serves as the Treasurer. Mr. Maxwell requests that the fine be reduced or waived. He explains that the Committee believed it had timely filed the 2016 July Quarterly Report. The staff member was able to quickly upload the report, indicating he had previously compiled the report.

The Candidate also requests termination and apologizes for not previously submitting a termination report. He further explains that the campaign effectively shut down after the 2016 Nebraska Primary Election, and the bank account has been closed for over four months. He also states he did not receive any FEC correspondence mailed to the address of record.

Analysis

The challenge contends the Committee timely compiled the 2016 July Quarterly Report and believed it was timely submitted to the Commission. The challenge also indicates the Committee was unaware of the late filing because it did not receive FEC correspondence sent to the address of record.

However, the only correspondence mailed to the Committee's address of record was RAD's RTB Letter dated September 16, 2016. The Commission previously notified the Committee of its failure to file the 2016 July Quarterly Report via email on three separate occasions. On July 16, 2016 and July 18, 2016, the Commission's Electronic Filing Office sent an email to chipmaxwell@cox.net, notifying the Committee that it had failed to electronically file the 2016 July Quarterly Report. On August 3, 2016, RAD sent a non-filer notification via email to contactus@maxwellforcongress.com, the email address listed on the Committee's Statement of Organization. Therefore, the Committee should have been aware that it had failed to file the report.

The Reviewing Officer recognizes the campaign effectively ended after the Candidate lost the 2016 Nebraska Primary Election. However, negligence and failure to use filing software properly are included at 11 C.F.R. § 111.35(d) as examples of circumstances that will not be considered reasonably unforeseen and beyond the respondents' control. A committee's intent to terminate is also not considered. Their challenge fails to address any of the three valid grounds at 11 C.F.R. § 111.35(b). These are: (i) the RTB finding is based on factual errors; and/or (ii) the improper calculation of the civil money penalty; and/or (iii) they used best efforts to file on time but were prevented from doing so by reasonably unforeseen circumstances that were beyond their control and they filed the report no later than 24 hours after the end of these circumstances. Therefore, the Reviewing Officer recommends that the Commission make a final determination that the respondents violated 52 U.S.C. § 30104(a) and assess a \$1,157 civil money penalty.

OAR Recommendations

1. Adopt the Reviewing Officer recommendation for AF# 3131 involving Maxwell for Congress and Chip Maxwell, in his official capacity as Treasurer, in making the final determination;
2. Make a final determination in AF# 3131 that Maxwell for Congress and Chip Maxwell, in his official capacity as Treasurer, violated 52 U.S.C. § 30104(a) and assess a \$1,157 civil money penalty; and
3. Send the appropriate letter.

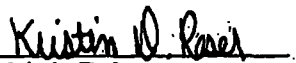
Attachments

- Attachment 1 -
- Attachment 2 -
- Attachment 3 - Declaration from RAD
- Attachment 4 - Declaration from OAR

UNCLASSIFIED

DECLARATION OF KRISTIN D. ROSER

1. I am the Chief of the Compliance Branch for the Reports Analysis Division of the Federal Election Commission ("Commission"). In my capacity as Chief of the Compliance Branch, I oversee the initial processing of the Administrative Fine Program. I make this declaration based on my personal knowledge and, if called upon as a witness, could and would testify competently to the following matters.
2. It is the practice of the Reports Analysis Division to document all calls to or from committees regarding a letter they receive or any questions relating to the FECFile software or administrative fine regulations, including due dates of reports and filing requirements.
3. I hereby certify that documents identified herein are true and accurate copies of the following sent by the Commission to Maxwell for Congress:
 - A) Termination Denial Letter, dated November 8, 2016, referencing the Termination Report covering July 1, 2016 through September 30, 2016 (sent via regular mail to the Committee's address of record)
 - B) Non-Filer Letter, dated August 3, 2016, referencing the 2016 July Quarterly Report (sent via electronic mail to: contactus@maxwellforcongress.com);
 - C) Reason-to-Believe Letter, dated September 16, 2016, referencing the 2016 July Quarterly Report (sent via overnight mail to the address of record).
4. I hereby certify that I have searched the Commission's public records and find that Maxwell for Congress filed the 2016 July Quarterly Report with the Commission on October 24, 2016.
5. Pursuant to 28 U.S.C. 1746, I declare under penalty of perjury that the foregoing is true and correct and that all relevant telecoms for the matter have been provided. This declaration was executed at Washington, D.C. on the 22nd day of November, 2016.


Kristin D. Roser
Chief, Compliance Branch
Reports Analysis Division
Federal Election Commission



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 8, 2016

Chip Maxwell, Treasurer
Maxwell for Congress
11906 Arbor St. #100
Omaha, NE 68144

Dear Treasurer:

On October 26, 2016, you filed a report requesting that the Federal Election Commission permit Maxwell for Congress (C00565598) to terminate pursuant to 52 U.S.C. § 30103(d) and 11 CFR § 102.3 of the Commission's Regulations. Because of an administrative fines matter involving your committee, your termination request has been denied.

Your committee must continue to file all required reports with the Commission. You will be notified when your request to terminate has been granted and your committee is no longer required to file reports with the Commission. If you have any questions regarding this matter, please do not hesitate to contact David Garr on our toll free number at (800) 424-9530. His local number is (202) 694-1137.

Sincerely,

Debbie Chacona

Debbie Chacona
Assistant Staff Director
Reports Analysis Division



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-7

August 3, 2016

CHIP MAXWELL, TREASURER
MAXWELL FOR CONGRESS
11906 ARBOR ST #100
OMAHA, NE 68144

IDENTIFICATION NUMBER: C00565598

REFERENCE: JULY QUARTERLY REPORT (04/21/2016 - 06/30/2016)

Dear Treasurer:

It has come to the attention of the Federal Election Commission that you may have failed to file the above referenced report of receipts and disbursements or failed to file a report covering the entire reporting period as required by the Federal Election Campaign Act, as amended. 52 U.S.C. §30104(a)

It is important that you file this report immediately. The report must be filed with the Federal Election Commission, 999 E Street, N.W., Washington, DC 20463 for House candidates, or the Secretary of the Senate, 232 Hart Senate Office Building, Washington, DC 20510 (if sent via overnight delivery service) or Senate Office of Public Records, P.O. Box 77578, Washington, DC 20013-7578 (if sent via USPS) for Senate Candidates. Please note that electronic filers must submit their reports electronically, as per 11 CFR §104.18. A copy of the report must also be filed with the Secretary of State or equivalent State officer unless the State is exempt from the federal requirement to receive and maintain paper copies. You can verify the Commission's receipt of any documents submitted by your committee on the FEC website at www.fec.gov.

The failure to timely file a complete report may result in civil money penalties, an audit or legal enforcement action. The civil money penalty calculation for late reports does not include a grace period and begins on the day following the due date for the report. Due to heightened security screening measures, delivery of mail by the US Postal Service may be delayed. The Commission recommends that you submit your report via overnight delivery or courier service.

MAXWELL FOR CONGRESS

Page 2 of 2

If you have any questions regarding this matter, please contact Christopher Ritchie at our toll-free number (800)424-9530. The analyst's direct number is (202)694-1146.

Sincerely,

Debbie Chacona

Deborah Chacona
Assistant Staff Director
Reports Analysis Division

250

DECLARATION OF RHIANNON MAGRUDER

- 1) I am the Reviewing Officer in the Office of Administrative Review for the Federal Election Commission ("Commission"). In my capacity as Reviewing Officer, I conduct research with respect to all challenges submitted in accordance with the Administrative Fine program.
- 2) A principal campaign committee shall file a report for the quarter ending June 30 no later than July 15. Reports filed electronically must be received and validated at or before 11:59 pm, Eastern Standard/Daylight Time on July 15, 2016 for the 2016 July Quarterly Report to be timely filed.
- 3) I hereby certify that I have searched the Commission's public records and that the documents identified herein are the true and accurate copies of:
 - a) Cover page of the 2016 July Quarterly Report filed by Maxwell for Congress and Chip Maxwell, in his official capacity as Treasurer. The report includes the coverage period of April 21, 2016 through June 30, 2016 and was electronically filed on October 24, 2016.
- 4) Pursuant to 28 U.S.C. § 1746, I declare under penalty of perjury that the foregoing is true and correct and that this declaration was executed at Washington, D.C. on the 20th day of December, 2016.

Rhiannon Magruder
Reviewing Officer
Office of Administrative Review
Federal Election Commission

Rhiannon Magruder
Reviewing Officer
Office of Administrative Review
Federal Election Commission



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

December 20, 2016

Chip Maxwell, Treasurer
Maxwell for Congress
11906 Arbor St., #100
Omaha, NE 68144

C00565598
AF#: 3131

Dear Mr. Maxwell,

On September 15, 2016, the Federal Election Commission ("Commission") found reason to believe ("RTB") that Maxwell for Congress and you, in your official capacity as Treasurer ("respondents"), violated 52 U.S.C. § 30104(a) for failing to file the 2016 July Quarterly Report. The Commission also made a preliminary determination that the civil money penalty was \$1,157 based on the schedule of penalties at 11 C.F.R. § 111.43.

After reviewing your written response and any supplemental information submitted by you and Commission staff, the Reviewing Officer has recommended that the Commission make a final determination. A copy of the Reviewing Officer's recommendation is attached.

You may file with the Commission Secretary a written response to the recommendation within 10 days of the date of this letter. Your written response should be sent to the Commission Secretary, 999 E Street, NW, Washington, DC 20463 or via facsimile (202-208-3333). Please include the AF # in your response. Your response may not raise any arguments not raised in your original written response or not directly responsive to the Reviewing Officer's recommendation. 11 C.F.R. § 111.36(f). The Commission will then make a final determination in this matter.

Please contact me at the toll free number 800-424-9530 (press 0, then press 1660) or 202-694-1660 if you have any questions.

Sincerely,

A handwritten signature in cursive script that reads "Rhiannon Magruder".

Rhiannon Magruder
Reviewing Officer
Office of Administrative Review



FEDERAL ELECTION COMMISSION
WASHINGTON, DC 20463

2017 MAR 28 AM 10:19

SENSITIVE

March 28, 2017

MEMORANDUM

To: The Commission

Through: Alec Palmer *AP*
Staff Director

From: Patricia C. Orrock *PCO*
Chief Compliance Officer

Rhiannon Magruder *RM*
Reviewing Officer
Office of Administrative Review

Subject: Final Determination Recommendation in AF# 3131 – Maxwell for Congress and
Chip Maxwell, in his official capacity as Treasurer (C00565598)

On September 15, 2016, the Commission found reason to believe ("RTB") that the respondents violated 52 U.S.C. § 30104(a) for failing to timely file the 2016 July Quarterly Report and made a preliminary determination that the civil money penalty was \$1,157 based on the schedule of penalties at 11 C.F.R. § 111.43.

On October 25, 2016, the Commission received their written response ("challenge"). After reviewing the challenge, the Reviewing Officer Recommendation ("ROR") dated December 20, 2016 was forwarded to the Commission, a copy was forwarded to the respondents, and is hereby incorporated by reference. The Reviewing Officer recommended that the Commission make a final determination that the respondents violated 52 U.S.C. § 30104(a) and assess a \$1,157 civil money penalty.

Within 10 days of transmittal of the recommendation, they may file a written response with the Commission Secretary which may not raise any arguments not raised in their challenge or not directly responsive to the ROR. 11 C.F.R. § 111.36(f). To date, a response has not been received.

17-0627-1280-1

1. Adopt the Reviewing Officer recommendation for AF# 3131 involving Maxwell for Congress and Chip Maxwell, in his official capacity as Treasurer, in making the final determination;
2. Make a final determination in AF# 3131 that Maxwell for Congress and Chip Maxwell, in his official capacity as Treasurer, violated 52 U.S.C. § 30104(a) and assess a \$1,157 civil money penalty; and
3. Send the appropriate letter.

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
) AF 3131
Final Determination Recommendation –)
Maxwell for Congress and Chip)
Maxwell, in his official capacity as)
Treasurer (C00565598))

CERTIFICATION

I, Dayna C. Brown, Secretary and Clerk of the Federal Election
Commission, do hereby certify that on April 05, 2017, the Commission decided
by a vote of 5-0 to take the following actions in AF 3131:

1. Adopt the Reviewing Officer recommendation for AF# 3131 involving Maxwell for Congress and Chip Maxwell, in his official capacity as Treasurer, in making the final determination.
2. Make a final determination in AF# 3131 that Maxwell for Congress and Chip Maxwell, in his official capacity as Treasurer, violated 52 U.S.C. § 30104(a) and assess a \$1,157 civil money penalty.
3. Send the appropriate letter.

Commissioners Goodman, Hunter, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Attest:

April 6, 2017
Date

Dayna C. Brown
Dayna C. Brown
Secretary and Clerk of the Commission



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

April 25, 2017

Chip Maxwell, Treasurer
Maxwell for Congress
11906 Arbor St., # 100
Omaha, NE 68144

C00565598
AF#: 3131

Dear Mr. Maxwell,

On September 15, 2016, the Federal Election Commission ("the Commission") found reason to believe ("RTB") that Maxwell for Congress and you, in your official capacity as Treasurer, violated 52 U.S.C. § 30104(a) for failing to file the 2016 July Quarterly Report. By letter dated September 16, 2016, the Commission sent notification of the RTB finding that included a civil money penalty calculated at RTB of \$1,157 in accordance with the schedule of penalties at 11 C.F.R. § 111.43. On October 24, 2016, the Office of Administrative Review received your written response challenging the RTB finding.

The Reviewing Officer reviewed the Commission's RTB finding with its supporting documentation and your written response. Based on this review, the Reviewing Officer recommended that the Commission make a final determination that Maxwell for Congress and you, in your official capacity as Treasurer, violated 52 U.S.C. § 30104(a) and assess a civil money penalty in the amount of \$1,157 in accordance with 11 C.F.R. § 111.43. The Reviewing Officer Recommendation was sent to you on December 20, 2016.

On April 4, 2017, the Commission adopted the Reviewing Officer's recommendation and made a final determination that Maxwell for Congress and you, in your official capacity as Treasurer, violated 52 U.S.C. § 30104(a) and assessed a civil money penalty in the amount of \$1,157. A copy of the Final Determination Recommendation is attached.

At this juncture, the following courses of action are available to you:

1. If You Choose to Appeal the Final Determination and/or Civil Money Penalty

If you choose to appeal the final determination, you should submit a written petition, within 30 days of receipt of this letter, to the U.S. District Court for the district in which the committee or you reside, or transact business, requesting that the final determination be modified or set aside. See 52 U.S.C. § 30109(a)(4)(C)(iii). Your failure to raise an argument in a timely fashion during the administrative process shall be deemed a waiver of the respondents' right to present such argument in a petition to the district court under 52 U.S.C. § 30109. 11 CFR § 111.38.

2. If You Choose Not to Pay the Civil Money Penalty and Not to Appeal

Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA") as amended by the Debt Collection Improvement Act of 1996 ("DCIA"), 31 U.S.C. § 3701 *et seq.* If you do not pay this debt within 30 days (or file a written petition to a federal district court - see below), the Commission will transfer the debt to the U.S. Department of the Treasury ("Treasury") for collection. Within 5 days of the transfer to Treasury, Treasury will contact you to request payment. Treasury currently charges a fee of 28% of the civil money penalty amount for its collection services. The fee will be added to the amount of the civil money penalty that you owe. Should Treasury's attempts fail, Treasury will refer the debt to a private collection agency ("PCA"). If the debt remains unpaid, Treasury may recommend that the Commission refer the matter to the Department of Justice for litigation.

Actions which may be taken to enforce recovery of a delinquent debt by Treasury may also include: (1) offset of any payments, which the debtor is due, including tax refunds and salary; (2) referral of the debt to agency counsel for litigation; (3) reporting of the debt to a credit bureau; (4) administrative wage garnishment; and (5) reporting of the debt, if discharged, to the IRS as potential taxable income. In addition, under the provisions of DCIA and other statutes applicable to the FEC, the debtor may be subject to the assessment of other statutory interest, penalties, and administrative costs.

In accordance with the DCIA, at your request, the agency will offer you the opportunity to inspect and copy records relating to the debt, the opportunity for a review of the debt, and the opportunity to enter into a written repayment agreement.

3. If You Choose to Pay the Civil Money Penalty

If you should decide to pay the civil money penalty, follow the payment instructions on page 4 of this letter. You should make payment within thirty (30) days of receipt of this letter.

NOTICE REGARDING PARTIAL PAYMENTS AND SETTLEMENT OFFERS

4. Partial Payments

If you make a payment in an amount less than the civil money penalty, the amount of your partial payment will be credited towards the full civil money penalty that the Commission assessed upon making a final determination.

5. **Settlement Offers**

Any offer to settle or compromise a debt owed to the Commission, including a payment in an amount less than the civil money penalty assessed or any restrictive endorsements contained on your check or money order or proposed in correspondence transmitted with your check or money order, will be rejected. Acceptance and deposit or cashing of such a restricted payment does not constitute acceptance of the settlement offer. Payments containing restrictive endorsements will be deposited and treated as a partial payment towards the civil money penalty that the Commission assessed upon making a final determination. All unpaid civil money penalty amounts remaining will be subject to the debt collection procedures set forth in Section 2, above.

The confidentiality provisions at 52 U.S.C. § 30109(a)(12) no longer apply and this matter is now public. Pursuant to 11 C.F.R. §§ 111.42(b) and 111.20(c), the file will be placed on the public record within 30 days from the date of this notification.

If you have any questions regarding the payment of the civil money penalty, please contact Rhiannon Magruder on our toll free number (800) 424-9530 (press 0, then ext. 1660) or (202) 694-1660.

On behalf of the Commission,



Steven T. Walther
Chairman

ADMINISTRATIVE FINE PAYMENT INSTRUCTIONS

In accordance with the schedule of penalties at 11 C.F.R. § 111.43, the civil money penalty is \$1,157 for the 2016 July Quarterly Report.

You may remit payment by ACH withdrawal from your bank account, or by debit or credit card through Pay.gov, the federal government's secure portal for online collections. Visit www.fec.gov/af/pay.shtml to be directed to Pay.gov's Administrative Fine Program Payment form.

This penalty may also be paid by check or money order made payable to the Federal Election Commission. It should be sent by mail to:

Federal Election Commission
PO Box 979058
St. Louis, MO 63197-9000

If you choose to send your payment by courier or overnight delivery, please send to:

U.S. Bank - Government Lockbox
FEC #979058
1005 Convention Plaza
Attn: Government Lockbox, SL-MO-C2GL
St. Louis, MO 63101

PAYMENTS BY PERSONAL CHECK

Personal checks will be converted into electronic funds transfers (EFTs). Your account will be electronically debited for the amount on the check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

PLEASE DETACH AND RETURN THE PORTION BELOW WITH YOUR PAYMENT

FOR: Maxwell for Congress

FEC ID#: C00565598

AF#: 3131

PAYMENT AMOUNT DUE: \$1,157

MEMORANDUM